



An Anisotropic Ocean Surface Emissivity Model Based on a Two-Scale Code Tuned to WindSat Polarimetric Brightness Observations (JOEM – Joint Ocean Emissivity Model)

Dean F. Smith

Bob L. Weber

Albin J. Gasiewski

Center for Environmental Technology – University of Colorado, Boulder, CO



Collaborators: T. Meissner, J. Johnson, V. Irisov, and Z. Jelenak



Goal



Develop a standardized fast full-Stokes ocean surface emissivity model for a wind-driven ocean surface applicable at arbitrary microwave frequencies and incidence angles, and thus relevant to all existing and planned conically and cross-track scanned sensors (WindSat, AMSR-E, TMI, SSMI, SSMIS, and CMIS as well as AMSU-A, NPP ATMS, and NPOESS ATMS, and GPM).



Strategy



- Analyze a sufficiently long sequence of WindSat data to derive the wind induced isotropic and anisotropic emissivity variations for all four Stokes parameters.
- Above was done for 9-month data set by T.
 Meissner and F. Wentz [1], and corroborated by this study.
- Extend the WindSat results to other frequencies and incidence and angles using the two-scale model [e.g., S. Yueh, 2].



Modeling Strategy



- The two-scale model has recently been cast into a computationally efficient form by J. Johnson [3] who has provided CET a copy of this code. Code features are:
 - > Resonant thermal emission from short-wave portion of wind-driven wave spectrum
 - Modified geometrical optics emission from facets tilted by long-wave portion of spectrum
 - Upwind/downwind modulation of wind-driven wave spectrum
 - $\triangleright \Omega$ factor [4] to describe the modification of the downwelling reflected radiation beyond that of simple specular reflection due to tilted surface facets (related to Maetzler's and Rosenkranz' "Lambertivity")
 - > Applicable to full Stokes emission for satellite data modeling.



Modeling Strategy (cont'd)



- The OSU code originally used the Durden-Vesecky model for the sea surface spectrum [5] which can be improved for radiometric purposes. This spectrum does, however, incorporate an adequate angular spreading function.
- Thus, the isotropic component of the Durden-Vesecky spectrum [5] was replaced by the Elfouhaily spectrum [6], but with the Durden-Vesecky angular spreading function retained.
- The Meissner-Wentz dielectric permittivity model [7] replaces the original (Klein-Swift) permittivity model because it is more accurate.



Tuning Strategy



- The model sea spectrum and emissivity code were tuned in five parameters to reproduce the WindSat zeroth, first, and second harmonic v, h results and the first and second harmonic U and V results.
 - > Three spectral tuning parameters are independent of wind speed:
 - spectral strength factor
 - hydrodynamic modulation function
 - shortwave/longwave spectral ratio
 - ➤ The foam fraction of Monahan and O'Muircheartaigh [8] is tuned according to wind speed.
 - ➤ The foam fraction is also modulated by adding foam on the leeward side. This parameter is tuned according to wind speed.



Other Modifications



- The high-frequency portion of the Elfouhaily spectrum was multiplied by the Pierson-Moskowitz shape factor since this modulating was inadvertantly omitted in the original work [6].
- The generalized Phillips-Kitaigorodskii equilibrium range parameter for short waves was modeled as a continuous function of the friction velocity at the water surface to eliminate a discontinuous jump in the [6] formulation.
- The hydrodynamic modulation function was modeled as a continuous function of facet slope:

$$M = [1 - h_a \tanh(\frac{S_x}{S_u} h_b)]$$



Foam, Skewness, and Peakedness



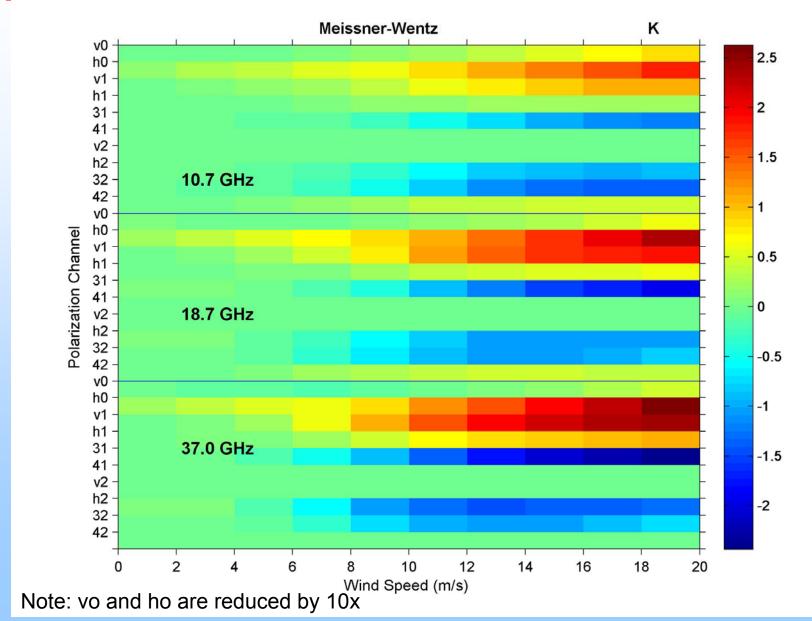
- Foam fraction:
 Monahan and O'Muircheartaigh [8]
- Foam emissivity:
 Strogryn [9] (anisotropy data from Reising et al. was considered)
- Slope probability distribution function:
 - Cox and Munk [10]
 - Includes coefficients for:
 - up/downwind skewness
 - peakedness (deviation from Gaussian)



Meissner-Wentz Harmonic Amplitudes



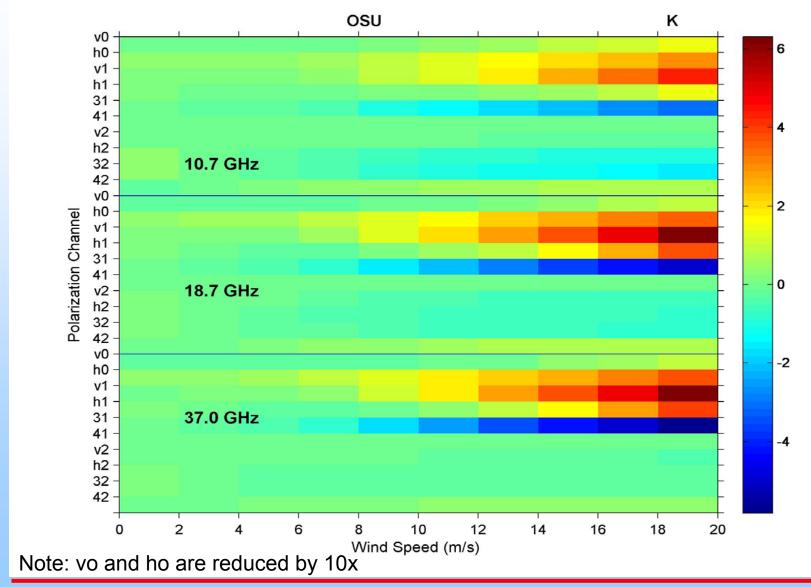
(WindSat, 9-months, two looks)





Untuned OSU/CET-Modified Harmonic Amplitudes

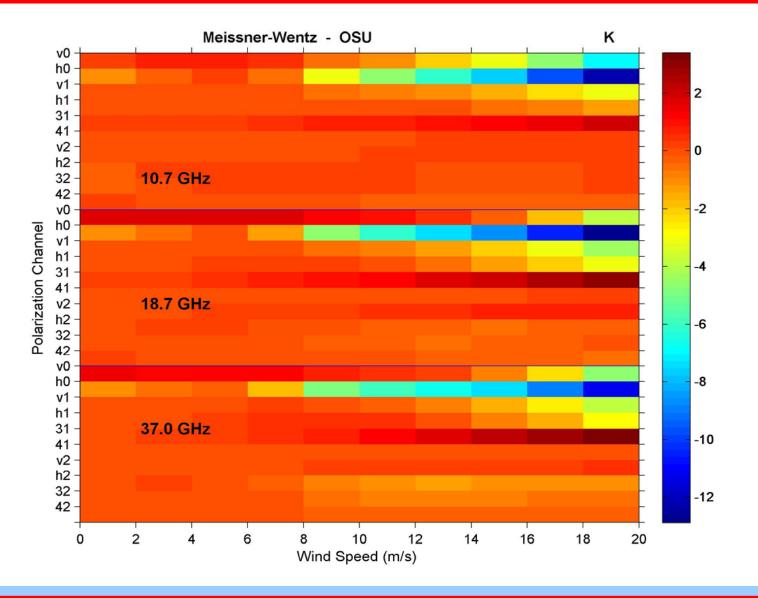






Meissner-Wentz – OSU Amplitudes

(untuned differences)

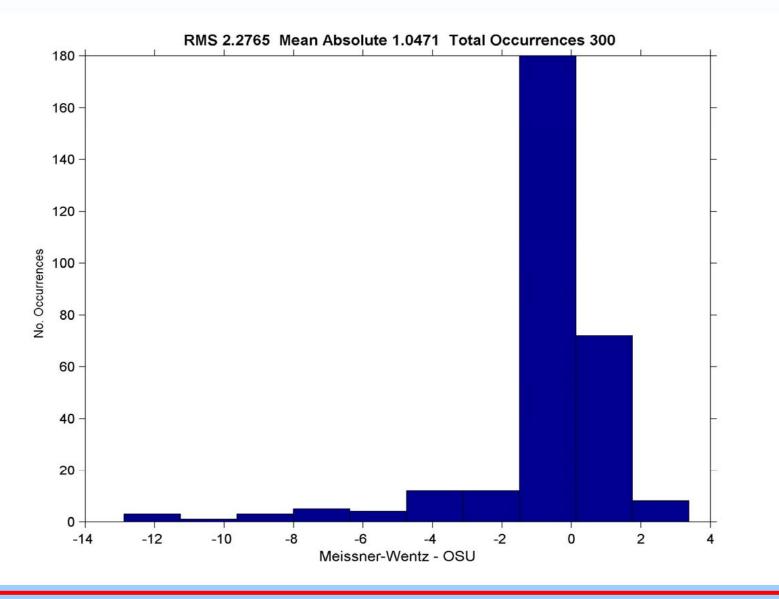




Histogram of MW-OSU Differences



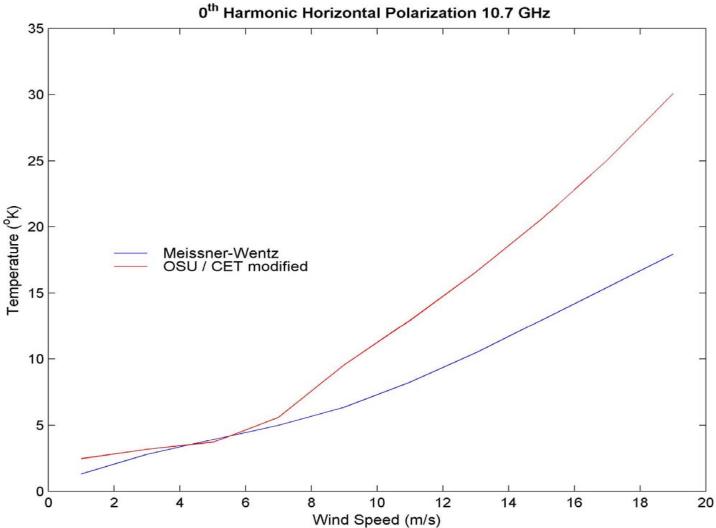
(untuned)





Zeroth Harmonic h-polarization (untuned)

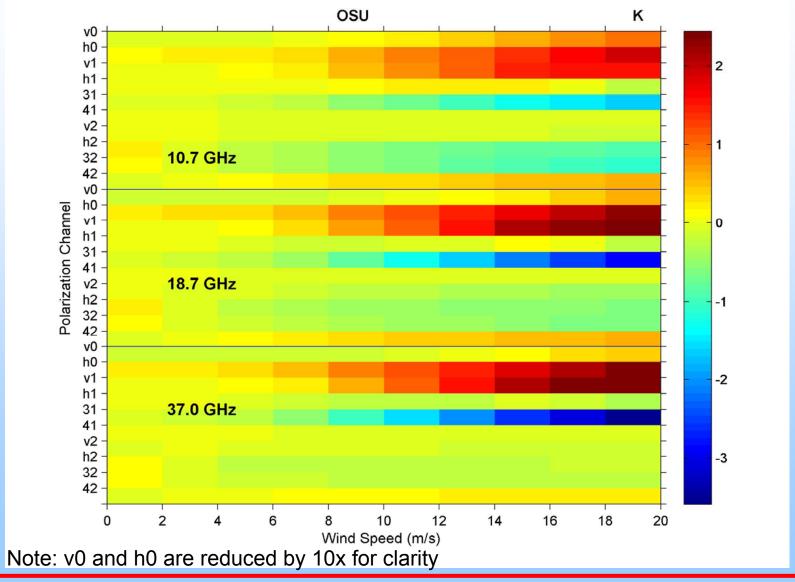






Tuned OSU/CET-Modified Harmonic Amplitudes

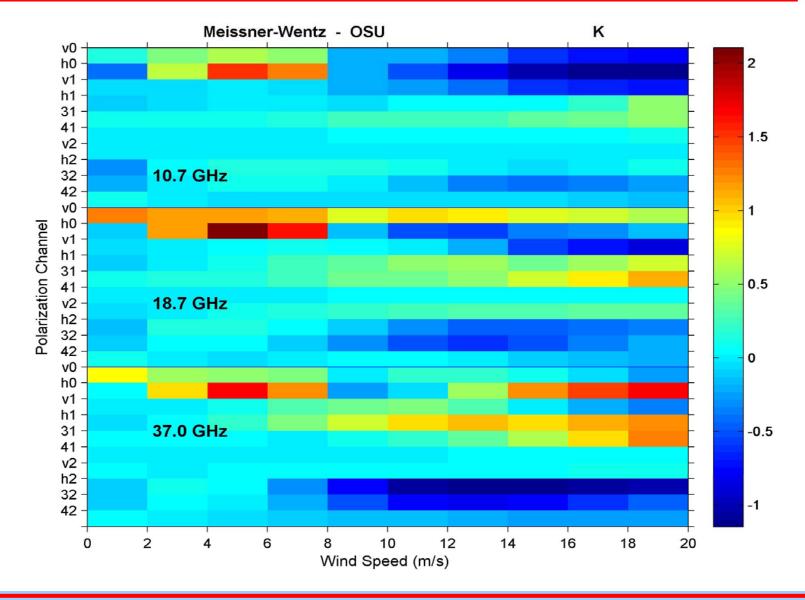






Meissner-Wentz – OSU Harmonic Amplitudes (tuned differences)



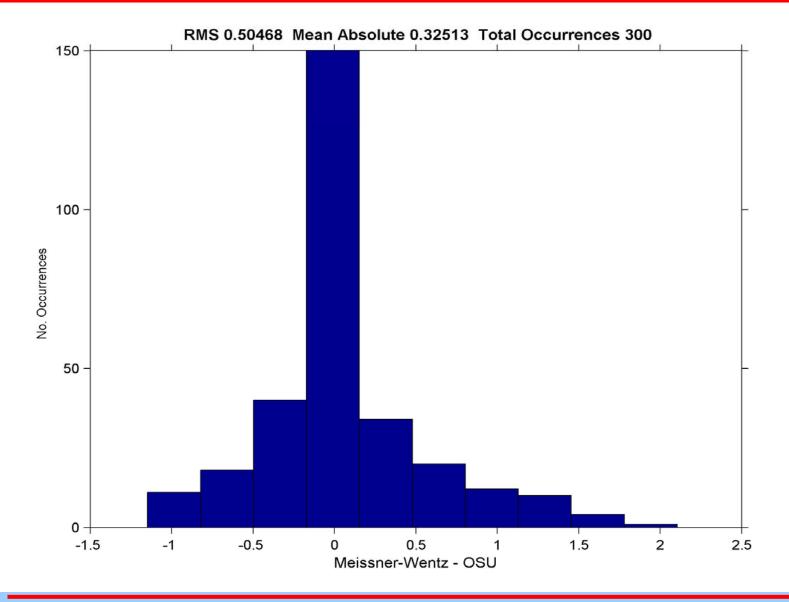




Histogram of MW-OSU Differences



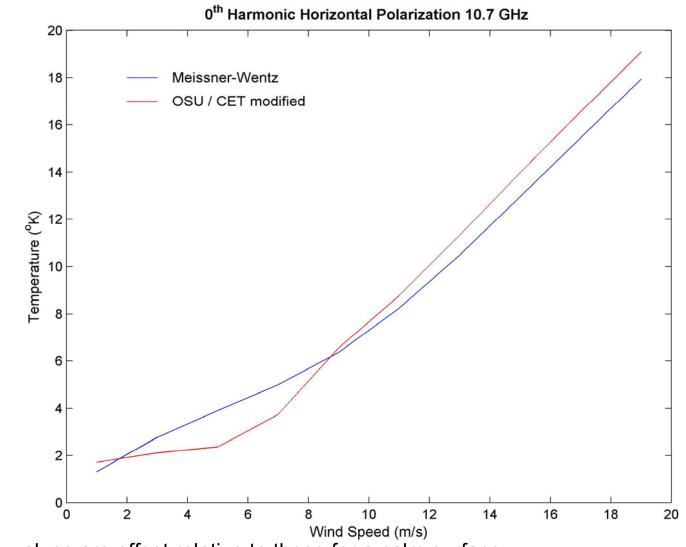
(tuned)





Zeroth Harmonic h-polarization (tuned)







Residual Bias Modeling



- The MW-OSU residuals were used as input to construct a bias table usable for all incidence angles θ and frequencies. The brightnesses near θ =0 are known to satisfy:
 - \triangleright v0 and h0 tend to the same value at θ =0
 - \triangleright v1,h1,31,41, and 42 tend to zero at θ =0
 - > 32 = 2h2 = 2v2 at θ =0
- The biases for all harmonics are presumed to be quadratic in $\boldsymbol{\theta}$
- The biases for all harmonics as a function of frequency are modeled by a piecewise linear fit with a bias of 0 from 0-6 GHz, from 6 GHz to the value at 10.7 GHz, from this value to the value at



Residual Bias Modeling (cont.)



- 18.7 GHz, from this value to the value at 37.0 GHz, from this value to 0 at 89.0 GHz, and 0 for frequencies above 89.0 GHz. There is a separate bias curve as a function of frequency for each Stokes parameter, harmonic, and wind bin.
- These biases are subsequently subtracted from all OSU code radiances



Next Steps



- Develop tabularized tuned OSU model including Jacobian.
 - \triangleright Ten emissivity parameters and Ω factors
 - ➤ 1-degree and 1 GHz tabulation for 1-100 GHz => ~10⁵ numbers (archive size)
- Incorporate into DOTLRT v1.0c
- Study AMSU-A/HSB transparent channel data for wind direction biases.



Next Steps (cont'd)



- The refined OSU model is presently being cross-validated against the Aqua AMSR-E data using buoy data (National Buoy Data Center) for sea surface temperature, and wind speed and direction.
 - ➤ NCEP reanalysed atmospheres are being used for column water vapor and liquid water values to model the downwelling and upwelling atmospheric brightnesses. ~10⁴ matchups are being sought so as to provide ~50mK accuracy.
 - ➤ May lead to some small further model adjustments pursuant to the goal of a standardized fast full-Stokes ocean surface emissivity model applicable at arbitrary microwave frequencies and incidence angles.



Summary (Ocean Emission)



- The OSU two-scale code has been modified with several physically-based improvements and incorporating five key tuning parameters.
- The OSU/CET-Modifed code has been tuned against WindSat data developed by Meissner and Wentz.
- Tuned model agreement is within ~0.5K RMS difference over 10 parameters, 10 wind bins and 3 frequency bands.
- A model bias function was developed to extend use of the tuned model to arbitrary incidence angles and frequencies.
- Independent satellite verification using AMSR-E is in progress.

References

- [1] Meissner, T., and F. Wentz, "Physical Ocean Retrievals for WindSat", Proc. MicroRad '06, in press.
- [2] Yueh, S.H., "Modeling of Wind Direction Signals in Polarimetric Sea Surface Brightness Temperatures", *IEEE Trans. Geosci. Remote Sens.*, vol. 35, pp. 1400-1418, 1997.
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- [4] Wentz, F.J., and T. Meissner, "Algorithm Theoretical Basis Document: AMSR Ocean Algorithm, version 2, report from Remote Sensing Systems, available at www.remss.com.
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- [7] Meissner, T., and F. Wentz, "The Dielectric Constant of Pure and Sea Water from Microwave Satellite Observations", *IEEE Trans. Geosci. Remote Sens.*, vol. 42, pp.1836-1849, 2004.
- [8] Monahan, E.C., and I.G. O'Muircheartaigh, "Whitecaps and the Passive Remote Sensing of the Ocean Surface", *Int. J. Remote Sens.*, vol. 7, 627-642, 1986.
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